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Attorney Docket No.: 021654-000270US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
On 12-23-03
TOWNSEND and TOWNSEND and CREW LLP

By: Laman

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenichi Wakabayashi, et al.

Application No.: 10/727,400

Filed: December 3, 2003

For: CARTRIDGE FOR ELECTRONIC

**DEVICES** 

Examiner: Gerald Tolin

Art Unit: 2835

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/442,873, filed May 21, 2003 (Attorney Docket No. 021654-000260US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Kenichi Wakabayashi, et al. Application No.: 10/727,400

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick R. Jewik Reg. No. 40,456

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

PRJ/llc 60107336 v1

PTO/SB/08A (08-03) bstitute for form 1449A/PTO Complete if Known Application Number 10/727,400 INFORMATION DISCLOSURE December 3, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Wakabayashi, Kenichi Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned 021654-000270US Sheet of 4 Attorney Docket Number

	l	Document Number	U.S. PATENT DO			· · · · · · · · · · · · · · · · · · ·
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-3,225,262	12-21-1965	My	yers	
	AB	US-3,993,123	11-23-1976	Chu	et al.	
_	AC	US-4,027,206	05-31-1977	L	ee	
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	AF	US-4,381,552	04-26-1983	Nocilii	ni et al.	
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	Al	US-4,563,725	01-07-1986	К	irby	
	AJ	US-4,621,304	11-04-1986	Oogal	ki et al.	
	AK	US-4,636,916	01-13-1987	Burt,	, et al.	•
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	AT	US-4,849,944 .	07-18-1989	Mats	ushita	
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	ВО	US-5,289,342	02-22-1994	Spaldir	ng et al.	
xaminer ignature				Date Considered		

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 4

<u>-</u> .	Complete if Known	
Application Number	10/727,400	
Filing Date	December 3, 2003	
First Named Inventor	Wakabayashi, Kenichi	
Art Unit	Unassigned	
Examiner Name	Unassigned	
Attorney Docket Number	021654-000270US	

	U.S. PATENT DOCUMENTS+					
		Document Number				
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	BP	US-5,389,819	02-14-1995	Matsuoka		
	BQ	US-5,424,913	06-13-1995	Swindler		
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	BV	US-5,481,656	01-02-1996	Wakabayachi et al.		
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	СВ	DE	3416348		11-07-1985	Siemens AG		
	СС	DE	9112099.3		01-23-1992			(Corresponds to 5,548,487)
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	CE	FR	2601791	A1	01-22-1988	Ricoh Company Ltd.		(Corresponds to 4,872,091)
	CF	GB	2164803	Α	03-26-1986	Smiths Industries Public Limited Company		
	CG	GB	2248973	Α	04-22-1992	Mitsubishi Electric Corp		
	СН	JP	53-91375		08-11-1978	Hitachi Ltd.		☑(Abstract)
	CI	JP	54-2834		01-10-1979	Atari Inc.		☑(Abstract)
·	CJ	JP	56-129353	Α	10-09-1981	Mitsubishi Electric Corp		⊠
	СК	JP	57-75498	Α	05-12-1982	Mitsubishi Electric Corp		⊠
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	СМ	JP	57-198798		12-17-1982			⊠
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	cs	JP	60-32397	Α	02-19-1985	Matsushita Electric IND Co Ltd.		⊠

Examiner Signature	Date Considered	

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PTO/SB/08A (08-03) SSocitute for form 1449A/PTO C mplete if Known **Application Number** 10/727,400 INFORMATION DISCLOSURE December 3, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Wakabayashi, Kenichi Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned Sheet **Attorney Docket Number** 021654-000270US

		<del>.</del>	F	OREIGN PA	TENT DOCUM	ENTS	<del>-</del>	·
Examiner Initials*	Cite No. <sup>1</sup>	For Country Code <sup>3</sup>	eign Patent Docum Number⁴ ĸ	ent  ind Code <sup>®</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
	ст	JP	60-173791	Α	09-07-1985	Matsushita Electric IND Co Ltd.		⊠(Abstract)
	CU	JP	60-254641	Α	12-16-1985	Fujitsu Ltd.		⊠(Abstract)
	CV	JP	61-222763	Α	10-03-1986	Seiko Epson Corp.		
	CW	JP	62-1181	Α	01-07-1987	Ricoh Company Ltd.		
	СХ	JP	62-45841	U	03-19-1987			⊠
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	CZ	JP	63-119554	Α	05-24-1988	Nippon Electric Co.		
	DA	JP	63-181385	Α	07-26-1988	Hitachi Ltd.		⊠
	DB	JP	63-184397	A	07-29-1988	Nippon Telegraph & Telephone		⊠
	DC	JP	63-167796	U	11-01-1988			⊠
	DD	JP	63-278261	Α	11-15-1988	Nippon Electric Co.		⊠(Partial Translation)
	DE	JP	63-284869	Α	11-22-1988	Fujitsu Ltd.		⊠
,,	DF	JP	63-289999	Α	11-28-1988	Hitachi Ltd.		×
	DG	JP	63-292661	Α	11-29-1988	Nippon Telegraph & Telephone		×
	DH	JP	64-74797	Α	03-20-1989	Oki Electric IND Co Ltd.		×
	DI	JP	1-122195	Α	05-15-1989	NEC Corp.		☒
	DJ	JP	1-140799	Α	06-01-1989	NEC Corp.		⊠
	DK	JP	1-89791	U	06-13-1989			⊠
	DL	JP	1-165415	Α	06-29-1989	Mitsubishi Cable IND Ltd.		⊠(Abstract)
	DM	JP	1-220898	Α	09-04-1989	Mitsubishi Electric Corp.		⊠
	DN	JР	1-298753	Α	12-01-1989	Nippon Electric Co.		
	DO	JP	1-319194	Α	12-25-1989	Nippon Electric Co.		
	DP	JP	2-58789	Α	02-27-1990	Nippon Electric Co.		
	DQ	JP	2-66962	Α	03-07-1990	Mitsui Petrochemical IND		⊠(Abstract)
	DR	JP	2-119299	Α	05-07-1990	Mitsubishi Electric Corp.		☑(Abstract)
	DS	JP	2-123798	U	10-11-1990			⊠
	DT	JP	2-253289	A	10-12-1990	Canon		
	DU	JP	2-277700	Α	11-14-1990	Nippon Electric Co.		☑(Abstract)
	DV	JP	3-11409	Α	01-18-1991	Oki Electric IND Co Ltd.		
	DW	JP	3-6724	Α	01-23-1991	Seiko Epson Corp		
	DX	JP	3-22160	Α	01-30-1991	Fuji Electric Co Ltd.; Fuji Facom Corp.		⊠(Abstract)
	DY	JP	3-36615	Α	02-18-1991	Mitsumi Electric Co Ltd.		⊠
	DZ	JP	3-182397	Α	08-08-1991	Mitsubishi Electric Corp.		⊠

Examiner Signature	Date Considere	1

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ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	4	of	4

Complete if Known				
Application Number	10/727,400			
Filing Date	December 3, 2003			
First Named Inventor	Wakabayashi, Kenichi			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	021654-000270US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	EA	HENNESSY, et al.; "Computer Architect a Quantitative Approach" 1990; pp. 574-585	
	EB	IBM T.D.B.; Almquist et al.; Spring-Clip Mounted Extruded Aluminum Heat Sink; May 1981; pp. 5303; Volume 23; Number 12	
	EC	IZADIAN, J., S., et al., "Increasing Circuit Complexities Require Sophisticated Design Considerations in Microwave Packaging", MSN Microwave Systems News (May 1985), Vol. 15, No. 6, pp. 138, 141, 144, 146.	
	ED	SELL, G., "Amplifiers and Enclosures: The Heat Dissipation Question", (September 1989), Communications Engineering and Design, Vol. 15, No. 10, pp. 34, 38, 42, 46.	
·			
	<u> </u>		

Examiner	Date	
Signature	 Considered	

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